

# Infection with multidrug resistant, dual-tropic HIV-1 and rapid progression to AIDS: a case report



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Lancet 2005; 365: 1031–38

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## Summary

**Background** Rapid progression to AIDS after acute HIV-1 infection, though uncommon, has been noted, as has the transmission of multidrug resistant viruses. Here, we describe a patient in whom these two factors arose concomitantly and assess the effects.

**Methods** We did a case study of a patient with HIV-1 seroconversion. We genotyped the virus and host genetic markers by PCR and nucleotide sequencing. To ascertain the drug susceptibility of our patient's HIV-1 we did phenotypic studies with the PhenoSense assay. We assessed viral coreceptor use via syncytium formation *in vitro* and with a modified PhenoSense assay.

**Findings** Our patient seems to have been recently infected by a viral variant of HIV-1 resistant to multiple classes of antiretroviral drugs. Furthermore, his virus population is dual tropic for cells that express CCR5 or CXCR4 coreceptor. The infection has resulted in progression to symptomatic AIDS in 4–20 months.

**Interpretation** The intersection of multidrug resistance and rapid development of AIDS in this patient is of concern, especially in view of his case history, which includes high-risk sexual contacts and use of metamfetamine. The public health ramifications of such a case are great.

## Introduction

Combination antiretroviral therapy has reduced the rates of death and progression to AIDS in people with HIV-1.<sup>1,2</sup> Today, HIV-1 infection can be managed with simple regimens in most infected individuals in developed countries. Along with this therapeutic success, however, has come the emergence of drug resistant HIV-1 in chronically treated patients<sup>3</sup> and in some recently infected people.<sup>4–8</sup>

HIV-1 is classified as either non-syncytium inducing or syncytium inducing,<sup>9</sup> and the identification of the chemokine receptors CCR5 and CXCR4 as a necessary entry cofactor has provided a mechanistic explanation for the differences between these virus types;<sup>10,11</sup> CCR5-tropic viruses are non-syncytium inducing in phenotype and they dominate in early infection,<sup>12</sup> whereas HIV-1 strains that use CXCR4 as a coreceptor are generally syncytium inducing in phenotype and emerge in about half of patients who progress to AIDS.<sup>9,13,14</sup> CXCR4-tropic or dual-tropic variants are uncommon in newly infected individuals, but their existence is well documented.<sup>15</sup> In fact, transmission of CXCR4-tropic viruses has been reported in individuals who are homozygous for a non-functional CCR5 gene.<sup>16–18</sup>

The natural history of HIV-1 infection varies widely between hosts, and can be affected by both viral<sup>19</sup> and host<sup>20</sup> factors. On average, development of AIDS and eventual death arise after years of infection.<sup>21</sup> However, cases of rapid progression to immunodeficiency have been reported.<sup>15–18,21,22</sup> In some of these patients, CXCR4-

tropic or dual-tropic viruses were identified at the outset of infection, suggesting that such viral strains might result in a faster clinical course. It is noteworthy, however, that genetic markers were not adequately analysed in all these cases and cannot therefore be excluded as contributory factors.

Here, we report and analyse a case of infection with a multidrug resistant, dual-tropic HIV-1 virus that resulted in progression to symptomatic AIDS in 4–20 months.

## Methods

Between December, 2004, and February, 2005, we assessed one patient (panel 1)<sup>23</sup> with documented HIV-1 seroconversion. The patient provided signed informed consent to do all studies described.

To assess the susceptibility of our patient's HIV-1 to antiretroviral drugs, we sequenced the viral *pol* gene and the gp41 envelope open reading frame (Trugene, Bayer Diagnostics, Tarrytown, NY, USA) in a plasma sample from mid-January, 2005. We also did phenotyping studies with the PhenoSense assay (ViroLogic, San Francisco, CA, USA) to ascertain the drug susceptibility of the patient's HIV-1 as well as its replication capacity.<sup>24,25</sup>

We isolated HIV-1 from the patient's peripheral blood mononuclear cells with a standard protocol,<sup>12</sup> and assessed HIV-1 coreceptor use by syncytium induction in MT-2 cells *in vitro*<sup>9</sup> and with a modified PhenoSense tropism assay (ViroLogic).<sup>26</sup> We amplified the envelope gene from the patient's plasma virus by RT-PCR, and

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**Panel 1: Case report**

The patient is a man in his late 40s who has sex with men. He repeatedly tested negative for HIV-1 antibodies between September, 2000, and May, 2003 (figure 1). His absolute lymphocyte counts throughout this period were within the normal range (figure 1). In early November, 2004, he had a fever, pharyngitis, weakness, and fatigue for about a week. These symptoms abated, but intractable sore throat, fatigue, and malaise recurred a few weeks later, prompting a visit to his private doctor in mid-December, 2004. He was diagnosed HIV-1 positive on the basis of the results of an enzyme immunoassay and western blot analysis (all bands positive). On his follow-up visit in late December, 2004, his CD4 T-cell count was 80 cells per  $\mu\text{L}$ , his CD8 T-cell count was 1012 cells per  $\mu\text{L}$ , and the concentration of HIV-1 RNA in his plasma was 280 000 copies per mL. He was referred to the Aaron Diamond AIDS Research Center for assessment as a possible case of recent HIV-1 infection. When he was seen in mid-January, 2005, he reported a sore throat, difficulty swallowing, severe fatigue, weight loss, anorexia, and a sense of ill health. His physical examination was normal. Laboratory assessment, however, confirmed the positive HIV-1 serology and a detuned enzyme immunoassay was positive (OD 1.9), indicating his infection was likely beyond the acute or primary phase.<sup>23</sup> Several viral load and CD4/CD8 T-cell measurements were taken (figure 1). Collectively, the results suggested that this man had already progressed to symptomatic AIDS with profound CD4 T-cell depletion.

In view of the rapid course seen in this patient, we took a more detailed history. When asked, he reported that he had been sexually active with many male partners over the years, often in conjunction with metamfetamine use. In particular, he believed he was infected while having risky sex with multiple partners in the third week of October, 2004. The patient last took metamfetamine in November, 2004, but continued to have sex with about ten partners until the end of December when sexual activities ceased due to his deteriorating health. He had not taken antiretroviral drugs before his referral to our centre.



**Figure 1: Laboratory data from September, 2000, to February, 2005**  
 Black arrows=HIV-1 negative serology. Red arrows=HIV-1 positive serology. Yellow arrows=test dates. Unbroken line with closed squares=absolute lymphocyte counts. Unbroken line with closed circles=HIV-1 RNA concentrations.

used it to generate pseudovirions for analysis of viral tropism in U87 cells expressing either CCR5 or CXCR4. We also did analyses of coreceptor use on 14 envelope clones amplified from the plasma of our patient.

In early February, 2004, the patient underwent flexible sigmoidoscopy with biopsy to assess the effect of his infection on the mucosal mononuclear cell population compared with peripheral blood mononuclear cells. We stained these cells with select monoclonal antibodies and analysed them by flow cytometry.

We characterised the genomic diversity of HIV-1 in this case by extracting DNA from our patient's peripheral blood mononuclear cells and undertaking limiting-dilution PCR to amplify a region of gag p17 (nucleotides 814–1279) and env V3 (nucleotides 7032–7336). We sequenced ten clones for every DNA fragment.

We did phylogenetic analysis of a nucleotide sequence from the viral *pol* gene of the patient and from 30 newly infected individuals identified in 2004 and five reference HIV-1 strains with Clustal X (v1.83).<sup>27</sup>

The rapid development of AIDS in this patient also prompted us to examine the possibility that he has a genetic predisposition to accelerated disease progression. His HLA Class I and Class II genotypes were identified in a clinically approved laboratory. CCR5 genotype was ascertained by PCR and nucleotide sequencing.

### Role of the funding source

The sponsors of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

### Results

The results of genotyping studies to ascertain the drug susceptibility of our patient's HIV-1 are summarised in panel 2 and reveal broad resistance to nucleoside reverse transcriptase inhibitors (NRTI), non-nucleoside reverse transcriptase inhibitors (NNRTI), and protease inhibitors. The genotype was confirmed by further testing done at ViroLogic with one notable difference: the detection of a mixture of M184V/I in reverse transcriptase (RT). We interpret the collection of mutations to confer resistance to thymidine analogues, lamivudine and emtricitabine, reduced susceptibility to abacavir and tenofovir,<sup>28</sup> high-level resistance to nevirapine,<sup>29</sup> possibly an attenuated response to efavirenz,<sup>30</sup> and broad resistance to protease inhibitors.<sup>31</sup>

Findings of the phenotyping assay<sup>24</sup> indicate that susceptibility (fold change in  $IC_{50}$ ) of the patient's virus was comparable to a drug-susceptible reference virus for various drugs: abacavir 0.81, didanosine 0.82, stavudine 0.98, zidovudine 0.50, and tenofovir 0.38. We noted low degrees of reduced susceptibility to

### Panel 2: Genotyping results

Virus*	Host
Genotype	HLA alleles
NRTI	Class I
M41L	A*3002
D67D/N	A*3201
V118I	B*350101
M184V/I	B*440301
L210L/G/M/R/V/W	Cw*030301
T215C/Y	Cw*1601
K219E	Class II
NNRTI	DR*070101
K101E	DR*130201
Y181I	CCR5
Protease inhibitors	no evidence of $\Delta$ 32 deletion
L10I	
L33F	
E34Q	
M46I	
I54M	
L63P	
A71V	
G73S	
V77I	
I84V	
L89V	
L90M	

\*First capital letter denotes aminoacid in wildtype virus; number refers to aminoacid position in gene; and second capital letter indicates aminoacid in virus.

lamivudine and emtricitabine (3.27-fold and 3.83-fold, respectively). Superficially, these findings suggest little evidence of drug resistance to these agents. However, given the presence of mixtures of viral species detected at aminoacid positions 184, 210, and 215 in RT (panel 2)—all resistance-conferring substitutions for NRTI—a discordance between the genotype and phenotype results is predicted. The minor drug resistant HIV-1 species might not have been scored in the phenotype assay, though they will probably be important in vivo when drugs are administered. Additionally, the results of the phenotyping assay showed the virus was highly resistant to nevirapine and all commercially available protease inhibitors (examples shown in figure 2). The virus tested sensitive to two NNRTI, efavirenz and delavirdine, and to enfurvitide, an inhibitor that blocks HIV-1 entry into cells (figure 2).

The replication capacity of our patient's HIV-1 was 136%, compared with a median of 100% derived from a large number of wildtype viruses. This finding indicates that, as measured in an in-vitro assay,<sup>25</sup> this multidrug resistant virus replicates as well as most wildtype drug-susceptible viruses.

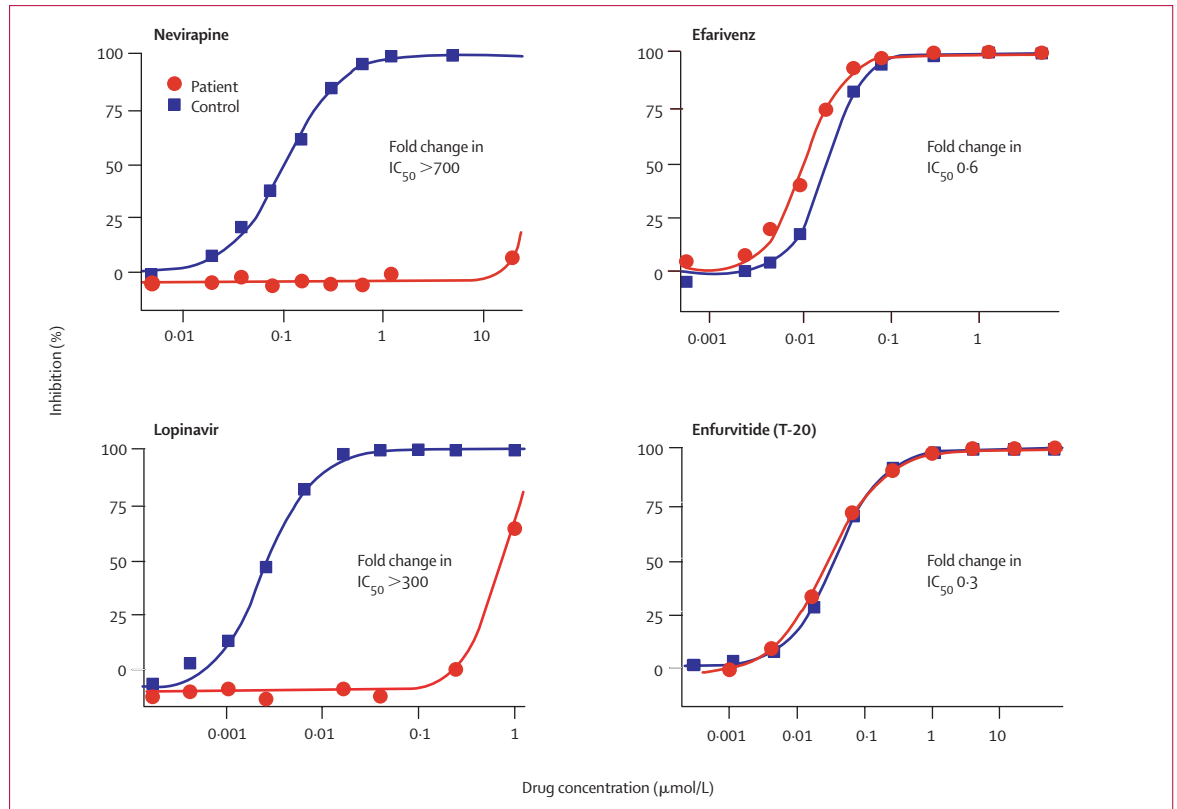


Figure 2: Drug susceptibility phenotype to selected agents

With respect to coreceptor use, although formal kinetic analyses are yet to be done, we can say that this virus replicates to high titres and readily forms syncytia in both MT-2 cells and normal-donor peripheral blood mononuclear cells (figure 3). Formation of the giant cells in an MT-2 culture strongly indicates the presence of CXCR4-tropic viruses.

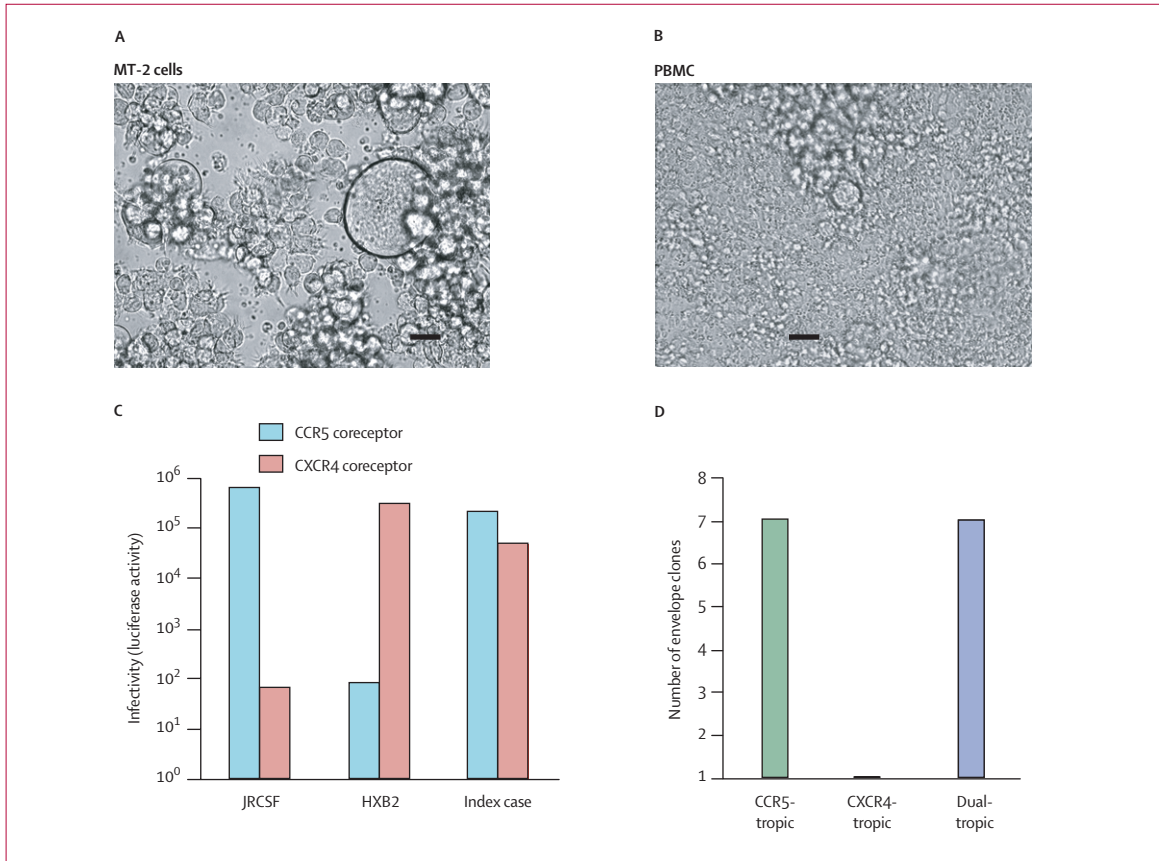
Data in figure 3 show that the viral quasiespecies in this patient are able to infect cells with both CCR5 and CXCR4 coreceptors, indicating that our patient's viruses are, collectively, dual tropic. Furthermore, his viral population consists of CCR5-tropic and dual-tropic viruses in about equal proportions.

Figure 4 shows the results of flow cytometry analyses of peripheral blood mononuclear cells and mucosal mononuclear cells biopsied from our patient in relation to previously published data on uninfected ( $n=10$ ) and newly infected ( $n=19$ ) individuals.<sup>32</sup> CD4 T-cell depletion in the index case was severe in both peripheral blood mononuclear cells (5%) and mucosal mononuclear cells (1%), and more significant than results seen in other newly infected patients. Furthermore, the depletion is most prominent in subpopulations of cells that express either CXCR4 alone or CXCR4 in conjunction with CCR5 (figure 4). Taken together, these results document the severe depletion of CD4 T cells in our patient's

gastrointestinal tract as well as in his blood. Moreover, the great loss of CXCR4+ T cells from the blood and the gut is not only striking, but also suggestive of the functional dominance of CXCR4-tropic viral variants *in vivo* in this case.

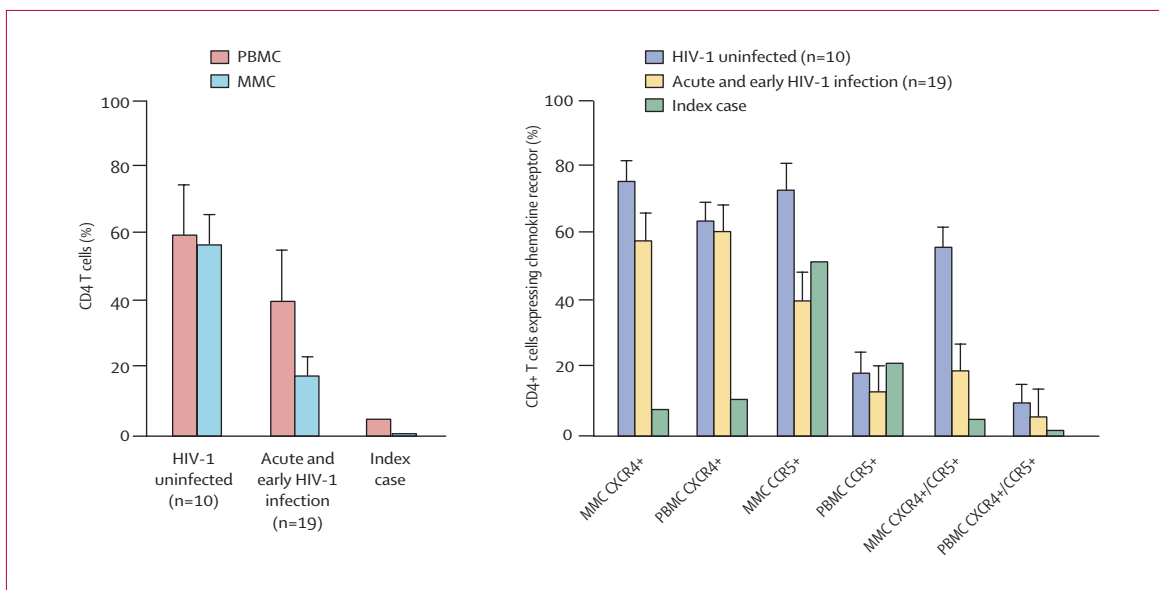
The findings of the viral sequence analysis indicate that the virus in this case belongs to subtype B. The average intrasample diversity for the p17 sequences was small (0.4%) and slightly higher for the V3 sequences (1.7%). The observed relative homogeneity of the viral population is consistent with early HIV-1 infection.<sup>12,33,34</sup> However, this sort of genetic data cannot be used easily to establish when a patient became infected by the virus.

We therefore subjected a nucleotide sequence from the viral *pol* gene of the patient and from 30 newly infected individuals and five reference HIV-1 strains to phylogenetic analysis. Figure 5 shows that the viral sequence of our case is unique, thus eliminating the possibility of contamination. In fact, a search of our sequence database did not yield a match. Because of its unique features, this *pol* sequence is now being compared with those in the database at the Los Alamos National Laboratory and in various commercial laboratories with the hope of finding a closely related HIV-1 that might provide an epidemiological link to this case.



**Figure 3: Coreceptor use of virus**

A and B=syncytia assays: patient's virus culture supernatant cocultured with MT-2 cells (A) and donor stimulated peripheral blood mononuclear cells (PBMC) (B). Scale bar=20  $\mu$ m. C=PhenoSense tropism assay. JRC5F=CCR5-tropic reference virus. HXB2=CXCR4-tropic reference virus. D=PhenoSense tropism assay on individual envelope clones (n=14) amplified from patient's plasma.



**Figure 4: T-cell subsets in blood and gut**

MMC=mucosal mononuclear cells. PBMC=peripheral blood mononuclear cells. Error bars are SE.

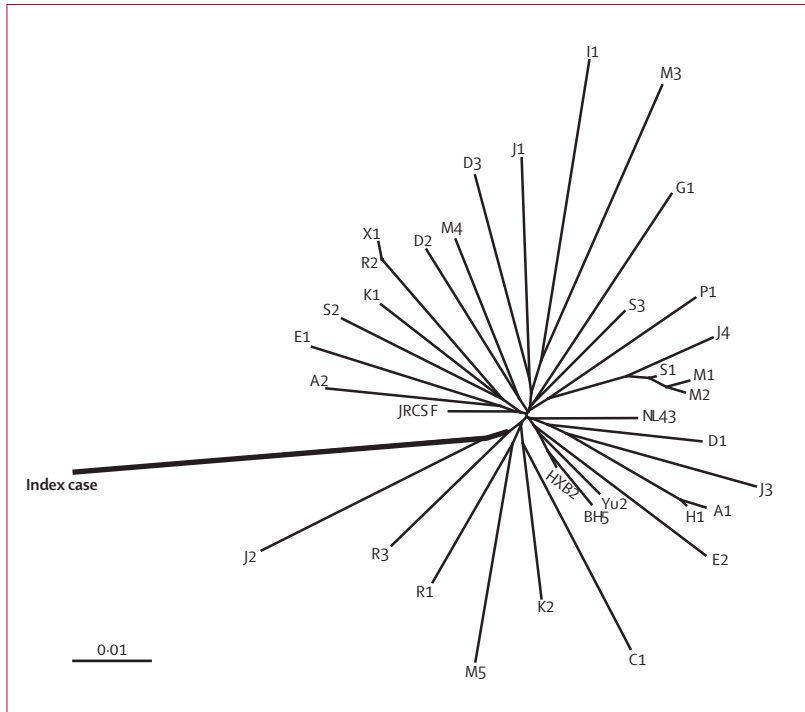


Figure 5: Phylogenetic analysis of *pol* nucleotide sequence

Panel 2 lists our patient's HLA Class I and Class II genotypes. We did not find HLA alleles that have been associated with rapid progression, including A\*24, B\*35 Px, B\*37, B\*56, B\*58S, and A1-B8-DR3.<sup>35</sup> Additionally, HLA homozygosity is associated with a poor prognosis in HIV-1 infection,<sup>35</sup> but was not observed in this case. A larger panel of disease-accelerating genetic markers is now being examined.<sup>20</sup>

We also studied the possibility that this patient acquired a CXCR4-tropic virus because he is CCR5-/- because of the  $\Delta 32$  mutation.<sup>36,37</sup> This possibility was excluded by the absence of a  $\Delta 32$  allele (panel 2) and by positive staining of CCR5 in the patient's peripheral blood and mucosal mononuclear cells (figure 4).

### Discussion

Rapid progression to AIDS after acute HIV-1 infection has been described previously,<sup>15–18,21,22</sup> as has the transmission of multidrug resistant viruses.<sup>4–8,38–42</sup> The unique feature in this case is the convergence of two uncommon factors: the transmission of a multidrug resistant HIV-1 variant and the extremely rapid clinical course to AIDS. The duration of infection in this case cannot have been longer than 20 months, since the patient had five negative HIV-1 antibody tests and normal absolute lymphocyte counts in the period before May, 2003. The transient febrile illness in early November, 2004, arising about 2 weeks after a series of high-risk sexual contacts with multiple partners, could have been the manifestation of his primary HIV-1

infection. If this was the case, then the duration of his infection would be 4–5 months, characterising it as recent but not acute. That the detuned antibody test was positive is in line with an infection beyond the acute phase.<sup>23</sup> Likewise, the relative sequence homogeneity in gag p17 and env gp120 V3 is consistent with, although not diagnostic of, recent infection.<sup>12,33,34</sup> Thus, our patient has probably been infected for 4–20 months.

The patient has been symptomatic with severe fatigue and weight loss, and his CD4 T-cell counts have been consistently below 80 cells per  $\mu\text{L}$ . A diagnosis of AIDS is, therefore, appropriate. However, is his rate of deterioration noteworthy? Review of research done suggests it is. An analysis of the data generated on acute seroconvertors in the Multicenter AIDS Cohort Study, for example, suggests the likelihood of progression to AIDS (CD4 count  $<200$ ) in 6 and 12 months is seven per 10 000 and 45 per 10 000 individuals, respectively.<sup>43</sup> Thus, by comparison, the index case would be in the top 0.5 percentile in terms of rapidity of disease if we assume 12 months as the duration of his infection. Furthermore, an initial analysis of the database in the National Institutes of Health Acute Infection and Early Disease Research Program revealed only six of 1709 cases with persistently low CD4 cell counts. Finally, among about 2700 seroconvertors in the US Military Cohorts, only 15 progressed to clinical AIDS by 1 year of infection.<sup>44</sup>

Could the rapid clinical course seen in our patient be explained by the properties of his unique HIV-1 variant? The presence of CXCR4-tropic or dual-tropic variants of HIV-1 is associated with a more aggressive clinical course,<sup>9</sup> and our patient has a mixture of CCR5-tropic and dual-tropic HIV-1 populations. Despite the presence of a multitude of drug resistance mutations, this virus grows well in vitro and has a greater replication capacity than many wildtype viruses. These in-vitro characteristics, coupled with the great depletion of CXCR4+ T-cell populations in vivo raise the spectre that this strain of HIV-1 might be especially aggressive. That said, our genetic studies on this case are ongoing, and our knowledge of host determinants of rapid disease progression is incomplete. Thus, the cause of the observed clinical course in this man remains unclear.

Treatment options for our patient are limited. His virus is resistant to all protease inhibitors and nevirapine, and is sensitive to efavirenz and efavirenz. The phenotype data for NRTI show susceptibility to various drugs in this class. However, viral mixtures with aminoacid substitutions at positions 184 (conferring resistance to lamivudine and emtricitabine) and with thymidine analogue mutations at 210 and 215 (conferring resistance to abacavir and thymidine analogues) suggests that most NRTIs are unlikely to be effective in vivo.<sup>28</sup> Furthermore, the presence of M41L together with mixtures reflected at positions 210 and 219 in RT predicts an attenuated response to

tenofovir.<sup>45</sup> We believe this case would be difficult to treat with a standard antiretroviral regimen. Efavirtide and efavirenz are the only two antiretroviral drugs that can provide full activity against the virus in this patient. Because of his low CD4 T-cell counts and high viral load, a multidrug regimen, including efavirtide and efavirenz, has been initiated.

Another concern is this man's history of a large number of high-risk sexual contacts and metamfetamine use. Convergence of his sexual history with the multidrug resistant and rapidly-progressing nature of his illness led us to bring this case to the special attention of the New York City Department of Health and Mental Hygiene. Because of the public-health ramifications, they issued a health alert to physicians in the area on Feb 11, 2005.<sup>46</sup> Furthermore, tracing of this patient's sexual contacts has begun. Only additional investigations will reveal whether this case is isolated or not. Irrespective of the outcome, efforts to prevent HIV-1 transmission need to be intensified, with particular emphasis on the epidemic that is being propelled by the use of metamfetamine. However, in so doing, care should be taken to avoid punitive measures against the populations most vulnerable to HIV-1.

#### Contributors

The case was initially seen by M Mullen. M Markowitz identified the case and planned the clinical and laboratory assessments in collaboration with D D Ho, D Boden, A Shet, and H Mohri. L Berry ascertained the genotype. Phenotype, confirmatory genotype, replication capacity, and tropism testing were done in the clinical reference laboratory at ViroLogic under the direction and supervision of T Wrin, N Parkin, and C Petropoulos. Quantitative assays for HIV-1 RNA, cell culture, p24 antigen concentrations, and molecular virology studies were done by R Kalyanaraman, A Kim, C Chung, P Jean-Pierre, and A Horowitz. M La Mar coordinated data collection. M Poles did flexible sigmoidoscopy with biopsy and S Mehandru undertook the flow cytometry studies on blood and gut cells. M Markowitz and D D Ho wrote the report.

#### Conflict of interest statement

D D Ho has been a paid adviser to ViroLogic since its inception in 1995, and has a brother who is an employee at the company. T Wrin, N Parkin, and C Petropoulos are ViroLogic employees who hold stocks or stock options in the company. No other co-author has a conflict of interest.

#### Acknowledgments

We acknowledge the efforts of the New York City Department of Health and Mental Hygiene and the nursing staff at the Rockefeller University Hospital. We thank Wendy Chen for preparation of figures and tables; Bayer for provision of genotyping materials; Roche Diagnostics for provision of HIV-1 RNA quantitation materials; L Zhang for contributions to sequence analyses; Z Chen and Y Huang for provision of reagents; J Toma for envelope clonal analysis; T Frieden and G Friedland for comments on the report; and the index case for his cooperation.

This study received funding from the National Institutes of Health (RO1-AI47033, R44-AI048990, and the Acute Infection and Early Disease Research Program, AI41534), Rockefeller University General Clinical Research Center (M01RR00102), and the Columbia-Rockefeller Center for AIDS Research (P30-AI042848).

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